



To be completed by Consultant/Distributor	
<p>(Refer to the above list for Fund Group)</p> <p><b>I recommended the product to the investor</b></p> <p><input type="checkbox"/> Yes      <input type="checkbox"/> No</p> <p><b>Fund Name to be Recommended:</b></p> <p>1. _____</p> <p>2. _____</p> <p>3. _____</p>	<p>If <b>YES</b>, I recommended the product because (multiple answers allowed)</p> <p><input type="checkbox"/> Suitable to investor's risk profile</p> <p><input type="checkbox"/> In line with investor's investment objective and investment horizon</p> <p><input type="checkbox"/> Complements investor's portfolio of products to meet his/her financial goal</p> <p><input type="checkbox"/> Others: (please specify): _____</p> <p>If <b>NO</b>, the reason for the non-recommendation (multiple answers allowed)</p> <p><input type="checkbox"/> Not suitable for investor's risk profile</p> <p><input type="checkbox"/> Not in line with investor's objective and investment horizon</p> <p><input type="checkbox"/> Others: (please specify): _____</p>

Part 3: Declaration			
<p>Please tick (v) whichever applicable.</p> <p><input type="checkbox"/> A. I agree with the recommendation by consultant.</p> <p><input type="checkbox"/> B. I have decided to purchase another unlisted capital market product that is/are not recommended by the Consultant. <i>(please specify below)</i> (This could be in addition to the recommended funds)</p> <p style="margin-left: 20px;">Name of Fund(s) that I wish to purchase but are not recommended by the Consultant, are:</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-left: 20px;"> <tr><td style="padding: 2px;">1. _____</td></tr> <tr><td style="padding: 2px;">2. _____</td></tr> <tr><td style="padding: 2px;">3. _____</td></tr> </table> <p><input type="checkbox"/> C. I decline to provide certain information required for product suitability assessment and that this may adversely affect my suitability assessment. <i>(Applicable for qualified investors only)</i></p> <p><b>The Consultant has explained and I have understood the features, nature, associated risks and terms and conditions of the relevant fund(s).</b></p> <p><b>All information disclosed/provided by me is true, complete and accurate. I understand my risk profile and the recommendation as prescribed in Part 3 above.</b></p> <p><b>I acknowledge receipt of the copy of the Product Highlight Sheet and the relevant Disclosure Document (e.g. Prospectus, Information Memorandum and including any supplemental thereof) which have been given to me.</b></p>	1. _____	2. _____	3. _____
1. _____			
2. _____			
3. _____			

<p>For Client,</p>   <p>Signature of Client</p> <p>Date:</p>	<p>For Consultant,</p>   <p>Signature:</p> <p>Name:</p> <p>Code:</p> <p>Date:</p>
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Category of Investors Qualifying Criteria		
High Net-Worth Individual	High Net-Worth Entity	Accredited Investor
<ul style="list-style-type: none"> <li>An individual whose total net personal assets, or total net joint assets with his or her spouse, exceeds RM3 million or its equivalent in foreign currencies, excluding the value of the individual's primary residence</li> <li>An individual who has a gross annual income exceeding RM300,000 or its equivalent in foreign currencies per annum in the preceding 12 months</li> <li>An individual who, jointly with his or her spouse, has a gross annual income of RM400,000 or its equivalent in foreign currencies per annum in the preceding 12 months</li> </ul>	<ul style="list-style-type: none"> <li>A corporation with total net assets exceeding RM10 million or its equivalent in foreign currencies based on the last audited accounts</li> <li>A partnership with total net assets exceeding RM10 million or its equivalent in foreign currencies</li> <li>A company that is registered as a trust company under the Trust Companies Act 1949 which has assets under management exceeding RM10 million or its equivalent in foreign currencies</li> <li>A corporation that is a public company under the Companies Act 1965 which is approved by the SC to be a trustee under the CMSA and has assets under management exceeding RM10 million or its equivalent in foreign currencies</li> <li>A pension fund approved by the Director General of Inland Revenue under the Income Tax Act 1967</li> <li>A statutory body established by an Act of Parliament or an enactment of any State</li> </ul>	<ul style="list-style-type: none"> <li>Central Bank of Malaysia established under the Central Bank of Malaysia Act 2009</li> <li>A holder of a Capital Markets Services License</li> <li>An executive director or chief executive officer of a holder of a Capital Markets Services License</li> <li>A unit trust scheme or a prescribed investment scheme</li> <li>A closed end fund approved by the SC</li> <li>A licensed institution as defined in the Banking and Financial Institutions Act 1989 or an Islamic bank as defined in the Islamic Banking Act 1983</li> <li>A Labuan bank as defined under the Labuan Financial Services and Securities Act 2010</li> <li>An insurance company registered under the Insurance Act 1996</li> <li>An insurance licensee licensed under the Labuan Financial Services and Securities Act 2010</li> <li>A takaful licensee licensed under the Labuan Islamic Financial Services and Securities Act 2010</li> <li>A takaful operator registered under the Takaful Act 1984</li> <li>A private retirement scheme as defined in the CMSA</li> </ul>

**WARNING**  
**YOU SHOULD NOT MAKE PAYMENT IN CASH TO A UNIT TRUST CONSULTANT OR ISSUE A CHEQUE IN THE NAME OF A UNIT TRUST CONSULTANT**