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## Note: Please complete in CAPITAL LETTERS and BLACK INK only. Any alteration made must be countersigned.

THIS INVESTOR SUITABILITY ASSESSMENT FORM (NOT APPLICABLE FOR ACCREDITED INVESTOR) WILL GUIDE YOU IN CHOOSING THE UNLISTED CAPITAL MARKET PRODUCTS THAT SUIT YOUR INVESTMENT OBJECTIVES, RISK TOLERANCE, FINANCIAL PROFILE AND INVESTMENT EXPERIENCE. THE INFORMATION YOU PROVIDE WILL FORM THE BASIS OF OUR RECOMMENDATION. IT IS IMPORTANT TO PROVIDE ACCURATE AND COMPLETE INFORMATION TO ENSURE THAT SUITABLE UNLISTED CAPITAL MARKET PRODUCTS ARE RECOMMENDED ACCORDING TO YOUR INVESTMENT NEEDS AND OBJECTIVES.

WARNING: The recommendation is made based on information obtained from the suitability. Investors are advised to exercise judgement in making an informed decision in relation to the unlisted capital market product.

Part 1: Investor's Details					
New Investor	Existing (To specify Acc. No):				
Date					
Name of Corporation					
Registration No.					

Catagoni	and Financial Profile	Tick
Category	Investor	TICK
High Net Worth	An individual whose total net personal assets, or total net joint assets with his or her spouse, exceeding RM3 million or its equivalent in foreign	
Entity	currencies, provided that the net value of the primary residence of the individual contribute not more than RM1 million of the total net assets;	
	An individual whose total net joint assets with his or her spouse; or his or her child, exceeding RM3 million or its equivalent in foreign currencies,	
	provided that the net value of the primary residence of the individual with his or her spouse or child contribute not more than RM1 million of	
	the total net assets. An individual who has a gross annual income exceeding RM300,000 or its equivalent in foreign currencies in the preceding twelve months.	
	An individual who jointly with his or her spouse or child, has a gross annual income exceeding RM400,000 or its equivalent in foreign currencies	
	in the preceding twelve months.	
	An individual whose total net personal investment portfolio or total net joint investment portfolio** with his or her spouse or child, in any	
	capital market produce exceeding RM1 million or its equivalent in foreign currencies.	
	An individual who holds any of the following qualifications and had five consecutive years of relevant working experience in finance, economics,	
	actuarial science or accounting-	
	a)holds a Bachelor's or Master's degree related to Finance Economics or Actuarial Science;	
	b)holds a Bachelor's or Master's degree in accounting; or	
	c)holds a Master of Business Administration;	
	7.An individual who holds the following membership in the associations as set out below:	
	a)Active Member of Chartered Financial Analyst (CFA) Institute	
	b)Active Member of Chartered Financial Analyst (CFA) Institute	
	c) Ordinary Member of Financial Markets Association Malaysia (FMAM);	
	d)Chartered Accountant(M) of Malaysian Institute of Accountants (MIA);	
	e)Ordinary Member of Malaysia Association of Tax Accountants (MATA);	
	f)Accredited Angel Investor of Malaysia Business Angel Network (MBAN);	
	g)Certified Member of Financial Planning Association of Malaysia (FPAM); or	
	h)Ordinary Member of Malaysian Financial Planning Council (MFPC); or	
	8.An Individual who has five consecutive years of working experience in a capitalin a capital market intermediary relating to product	
	development, corporate finance, deal advisory, financial analysis, or the provision of training in investment products.	
Accredited Investor	A unit trust scheme, private retirement scheme or prescribed investment scheme	
	Bank Negara.	
	A license person or a registered person.	
	An exchange holding company, a stock exchange, a derivatives exchange, an approved clearing house, a central depository or a recognized market operator.	
	A corporation that is licensed, registered or approved to carry on any regulated activity or capital market services by an authority in Labuan or	
	outside Malaysia which exercises functions corresponding to the functions of the Securities Commission.	
	A bank licensee or an insurance licensee as defined under the Labuan Islamic Financial Services and Securities Act 2010 [Act 704]	
	An Islamic bank licensee or a takaful licensee as defined under the Labuan Islamic Financial Services and Securities Act 2010 [Act 705].	
	A chief executive office or a director of any person referred to in (3), (4), (5), (6) and (7) above.	
	A closed-end fund approved by the Securities Commission	



## INVESTOR SUITABILITY ASSESSMENT FORM (CORPORATE)

Part	Part 3: Investor's Risk Profile						
1.	Preferred Asset Allocation: -						
	100% in Liquid Investment or Money Market (1) 🛛 A balanced portion in Liquid Investment and Equities (2) 🗌 100% in Equities (3)						
2.	Company's Latest Audited	Asset: -					
	<pre>RM 1,000,000 (1)</pre>	RM 1,000,000 - 4,999,99	99 (2) 🛛 🗌 RM 5,000,00	00 – 9,999,999 (3) 🛛 🗌 RM 10,00	0,000 > (4)		
3.	Company's Latest Estimate	d Liability: -					
	RM 10,000,000 > (1)	RM 5,000,000 - 9,999,99	99 (2) 🗌 RM 1,000,00	00 − 4,999,999 (3) 🛛 < RM 1,00	00,000 (4)		
4.	Your investment objective:	-					
	Capital Preservation (1)	Balanced (2)	🗌 Income & G	rowth (3) 🛛 🗌 Growth (4	1)		
5.	How long will your compan	y hold investment for?					
	Less than 3 years (1)	3 to 5 years (2)	🗌 More than 5	5 years (3)			
6.	Company's expectation of annualized gain: -						
	0 - 4 % (1)	4 - 6 % (2)	6 - 8 % (3)	8 - 12 % (4)	12 % and above (5)		
7.	Your risk tolerance: -						
	Capital preservation is \	very important (1)					
	Capital preservation is our objective but I can accept some capital reduction (2)						
		risk and willing to accept capital	reduction in our investm	ent (3)			
8.	Your investment experience						
	Unit Trust Funds	>5 years (4)	□ 1 – 4 years (3)	🗌 < 1 year (2)	No experience (1)		
	Equities	□ >5 years (4)	□ 1 – 4 years (3)	🗌 < 1 year (2)	No experience (1)		
	Bonds	□ >5 years (4)	□ 1 – 4 years (3)	🗌 < 1 year (2)	No experience (1)		
	Derivatives:	□ >5 years (4)	□ 1 – 4 years (3)	🗌 < 1 year (2)	No experience (1)		
	Average score:						
Tota	Total Score						

Part 4: Investor's Risk Tolerance Matrix							
Risk Profiling Total Score	8 - 14	15 - 21	22 - 27	28 and above			
Risk Tolerance	Conservative	Moderate	Moderately Aggressive	Aggressive			
ick (V)							
<b>Description</b> (Refer Appendix I for list of Funds)	This portfolio seeks to preserve capital and generate a regular income stream over time, with capital growth being of secondary importance. It is expected to be more stable in portfolio value compared to other more aggressive portfolios.	This portfolio seeks to provide stable income with some potential for capital growth. Short-term fluctuation is expected in anticipation of a higher return.	This portfolio aims to generate capital growth. A fair level of fluctuations is expected in return of possible higher returns with some level of income (if any).	This portfolio aims to generate long-term capital growth. Significant fluctuations may be expected in the short-term in anticipation of the highest possible return over the long- term.			
Part 5: Recommendation         To be completed by Consultant/Distributor         (Refer Appendix I for list of Funds)         If YES, I recommended the fund(s) because (multiple answers allowed)							
I recommended the followin  Yes No Fund Name (If you tick Yes): 12	g fund(s) to the investor	Suitable to i Suitable to i In line with i Complemen Others: (ple If <b>NO</b> , the rease Not suitable Not in line v	If YES, I recommended the fund(s) because (multiple answers allowed)         Suitable to investor's risk profile         In line with investor's investment objective and investment horizon         Complements investor's portfolio of products to meet his/her financial goal         Others: (please specify):         If NO, the reason for the non-recommendation (multiple answers allowed)         Not suitable for investor's risk profile         Not in line with investor's objective and investment horizon         Others: (please specify):				



## Part 6: Declaration by Investor

## Please tick (v) whichever applicable.

- A. All information disclosed/provided by me is true, complete and accurate.
- B. The consultant/distributor has explained and I understood the features, nature, associated risks and conditions of the recommended fund(s) as suitable for me based on the assessment and I shall bear full responsibility for my investment decision.
- C. I have decided to purchase another unit trust fund(s) that is/are not recommended by the consultant/distributor. I shall bear full responsibility for my Investment decision and have understood the features and risks of the funds(s) that I intend to invest. (This could be in addition to the recommended funds)

The name of fund(s) is:

1. 2. 3.

D. I acknowledge receipt of the copy of the Product Highlight Sheet and the relevant Disclosure Document (e.g. Prospectus, Information Memorandum and including any Supplemental thereof) which have been given to me.

E. I have previously completed suitability assessment and there has been no material change in the information provided.

For Investor,			For Consultant,			
			Signature:			
Authorized Signatory Company's Stamp/Common S	eal		Name: Code:			
Date:			Date:			
APPENDIX I – LIST OF FUNDS AND RISK CATEGORY						
Risk Category	k Category Conservative Mod		erate	Moderately Aggressive	Aggressive	
	Astute Dana Al-Kanz-Class A	Astute Dana Aslah		Astute Dana Al-Sofi-I	Astute Dynamic Fund	
	Astute Dana Al-Kanz-Class B	Astute Quantum Fund			Astute Malaysia Growth Trust	
List of funds based on risk category		Astute Dana Al-Faiz-I			Astute Asian (Ex Japan) Fund	
		Astute Dividend Maximiser Fund*				

\*For Sophisticated Investors Only

WARNING YOU SHOULD NOT MAKE PAYMENT IN CASH TO A UNIT TRUST CONSULTANT OR ISSUE A CHEQUE IN THE NAME OF A UNIT TRUST CONSULTANT